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# $\mathcal{PT}$ -symmetric electronics

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#### Abstract

We show both theoretically and experimentally that a pair of inductively coupled active LRC circuits (dimer), one with amplification and another with an equivalent amount of attenuation, display all the features which characterize a wide class of non-Hermitian systems which commute with the joint paritytime  $\mathcal{PT}$  operator: typical normal modes, temporal evolution, and scattering processes. Utilizing a Liouvilian formulation, we can define an underlying  $\mathcal{PT}$ -symmetric Hamiltonian, which provides important insight for understanding the behavior of the system. When the  $\mathcal{PT}$ -dimer is coupled to transmission lines, the resulting scattering signal reveals novel features which reflect the  $\mathcal{PT}$ -symmetry of the scattering target. Specifically we show that the device can show two different behaviors simultaneously, an amplifier or an absorber, depending on the direction and phase relation of the interrogating waves. Having an exact theory, and due to its relative experimental simplicity,  $\mathcal{PT}$ -symmetric electronics offers new insights into the properties of  $\mathcal{PT}$ -symmetric systems which are at the forefront of the research in mathematical physics and related fields.

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(Some figures may appear in colour only in the online journal)

# 1. Introduction

Among the many recent developments in  $\mathcal{PT}$  systems, the application of pseudo-Hermitian ideas into the realm of electronic circuitry not only promises a new generation of electronic structures and devices, but also provides a platform for detailed scrutiny of many new concepts within a framework of easily accessible experimental configurations. A first example of this was the demonstration in [1] that a pair of coupled LRC circuits, one with amplification and the other with equivalent amount of attenuation, provided the simplest experimental realization of a  $\mathcal{PT}$  symmetric system. With a normal mode structure where all dynamical variables are easily measured in the time domain, extensions of the circuit approach will provide a valuable

testing ground for further developments into more sophisticated structures. Moreover, the  $\mathcal{PT}$ -circuitry approach suggested also opens new avenues for innovative electronics architectures for signal manipulation from integrated circuits to antenna arrays, and allows for direct contact with cutting edge technological problems appearing in (nano)-antenna theory, split-ring resonator arrays, and meta-materials.

Examples of  $\mathcal{PT}$ -symmetric systems range from quantum field theories and mathematical physics [2–5] to atomic [6, 7], solid state [8–10] and classical optics [11–19]. A  $\mathcal{PT}$ -symmetric system can be described by a phenomenological 'Hamiltonian'  $\mathcal{H}$  which may have a real energy spectrum, although in general  $\mathcal{H}$  is non-Hermitian. Furthermore, as some parameter  $\gamma$  that controls the degree of non-Hermiticity of  $\mathcal{H}$  changes, a spontaneous  $\mathcal{PT}$  symmetry breaking occurs. At this point,  $\gamma = \gamma_{\mathcal{PT}}$ , the eigenfunctions of  $\mathcal{H}$  cease to be eigenfunctions of the  $\mathcal{PT}$ -operator, despite the fact that  $\mathcal{H}$  and the  $\mathcal{PT}$ -operator commute [2]. This happens because the  $\mathcal{PT}$ -operator is anti-linear, and thus the eigenstates of  $\mathcal{H}$  may or may not be eigenstates of  $\mathcal{PT}$ . As a consequence, in the *broken*  $\mathcal{PT}$ -symmetric phase the spectrum becomes partially or completely complex. The other limiting case where both  $\mathcal{H}$  and  $\mathcal{PT}$  share the same set of eigenvectors corresponds to the so-called *exact*  $\mathcal{PT}$ -symmetric phase in which the spectrum is real. This result led Bender *et al* to propose an extension of quantum mechanics based on non-Hermitian but  $\mathcal{PT}$ -symmetric operators [2, 3]. The class of non-Hermitian systems with real spectrum has been extended by other researchers in order to include Hamiltonians with generalized  $\mathcal{PT}$  (antilinear) symmetries [20].

While the applicability of these ideas in the quantum framework is still being debated, optical systems provide a particularly fertile ground where  $\mathcal{PT}$ -related concepts can be realized [11] and experimentally investigated [13, 14]. In this framework,  $\mathcal{PT}$  symmetry demands that the complex refractive index obeys the condition  $n(\vec{r}) = n^*(-\vec{r})$ .  $\mathcal{PT}$ -synthetic materials can exhibit several intriguing features. These include among others, power oscillations and non-reciprocity of light propagation [11, 14, 16], absorption enhanced transmission [13], and unidirectional invisibility [18]. Despite these efforts and the consequent wealth of theoretical results associated with  $\mathcal{PT}$ -structures, until very recently only one experimental realization of a system with balanced gain and loss has been reported [14]. These authors studied the light propagation in two coupled  $\mathcal{PT}$  symmetric waveguides where the spontaneous  $\mathcal{PT}$ -symmetry breaking 'phase transition'<sup>1</sup> was indirectly confirmed. The analysis relied on the paraxial approximation which under appropriate conditions maps the scalar wave equation to the Schrödinger equation, with the axial wavevector playing the role of energy and with a fictitious time related to the propagation distance along the waveguide axis.

This observation led us recently to propose a new set-up based on active LRC circuits where the novel features of  $\mathcal{PT}$ -symmetric structures can reveal themselves and can be studied both theoretically and experimentally in great detail. The system consists of a pair of coupled electronic oscillators, one with gain and the other with loss. This 'active' dimer, is implemented with simple electronics, and allow not only for a direct observation of a spontaneous  $\mathcal{PT}$ -symmetric 'phase transition' from a real to a complex eigenfrequency spectrum but also for its consequences in the spatio-temporal domain. At the same time the equivalent scattering system, where a localized  $\mathcal{PT}$  symmetric structure is connected to one or two transmission line (TL) leads allow us to access the validity of recent theoretical predictions [17, 21–27].

This paper presents our recent results pertaining to the  $\mathcal{PT}$  electronics. We begin with a general discussion of electronics in the context of  $\mathcal{PT}$  symmetric systems in section 2.

<sup>&</sup>lt;sup>1</sup> We use the term 'phase transition' not in the standard thermodynamic sense but rather in the frame of  $\mathcal{PT}$ -literature (see for example [2]).



Figure 1. Two negative impedance converters with their equivalents. (a) A ground referenced negative resistance node. (b) A floating, two-terminal negative resistance.

Then in section 3 we examine the normal mode structure of the simplest such circuit, the  $\mathcal{PT}$  dimer. We experimentally demonstrate how it displays all the novel phenomena encountered in systems with generalized  $\mathcal{PT}$ -symmetries. Section 4 discusses the unique aspects of  $\mathcal{PT}$  dynamics exhibited by the dimer, particularly upon passage from the exact to broken phase. In section 5 we investigate the simplest possible scattering situation where the dimer is coupled to a single TL, and derive a non-unimodular conservation relation connecting the left and right reflectances. A direct consequence of this relation is the existence of specific frequencies for which the system behaves either as a perfect absorber or as an amplifier, depending on the side (gain or loss) to which the TL is coupled. In section 6 we demonstrate theoretically and experimentally that a two-port  $\mathcal{PT}$ -symmetric electronic cavity can act as a simultaneous coherent perfect absorber (CPA)-amplifier. Our circuit is the electronic equivalent of a CPA-laser device which was recently proposed in the optics framework, and constitutes the first experimental realization of such devices. Finally, section 7 presents several issues involving practical implementation of  $\mathcal{PT}$  circuits, along with some related experimental details. Our conclusions are given in section 8.

#### 2. $\mathcal{PT}$ electronics

One of the most convenient advantages of an electronic approach is that, at least in the low frequency domain, where the wavelength is significantly greater than the dimensions of the circuit, all spatial symmetry considerations can be reduced to a matter of network topology defined through the application of Kirchoff's laws. Physical symmetry is irrelevant as long as the network has the desired node topology and the connecting elements are appropriately valued. Analogous to the familiar case of a  $\mathcal{PT}$ -symmetric potential, the parity operation is equivalent to the interchange of labels corresponding to pairs of associated circuit components.

For simplicity, we will restrict our discussion to the usual fundamental physical devices: resistors, capacitors and inductors. Only the resistor, due to it's dissipative nature, requires modification upon time-reversal where we include generic ohmic elements with either positive or negative resistance. Negative resistance represents the simplest conceptual inclusion of amplification into electronics since Kirchoff's laws can be used without modification. Figure 1 illustrates how simple linear amplifiers can be configured to achieve negative resistance. The schematic implementation in (a) results in a single, ground-referenced node, while that in (b) shows a true two-terminal configuration. The former is of greatest utility due to it's simplicity and the pervasiveness of ground nodes (defining a common zero potential) in typical circuits. Section 7 discusses further details of the experimental negative resistance converters.



Figure 2. Schematic of the  $\mathcal{PT}$ -symmetric electronic dimer. Both mutual inductance coupling and capacitive coupling are included for generality.

Theoretical analysis of circuits including negative resistance elements, however, requires respecting a subtle condition: any two terminal circuit structure reducing to a pure negative resistance will be undefined unless the structure is placed in parallel with a capacitance. This conclusion results from the divergence of the pole associated with parallel RC combinations (with negative R) in the limit of  $C \rightarrow 0$ . This pole only arises if parallel capacitance is initially considered, so is hidden, and often overlooked in the consideration of negative resistance circuits. It is inconsequential with normal, positive resistance, where it's sign corresponds to exponential decay. For example, the standard series LRC circuit, though it appears to have a mathematically well behaved solution for negative R, is invalid in that realm due to the hidden pole. Our choice of the parallel LRC configurations was dictated by this consideration.

Thus, for a  $\mathcal{PT}$ -symmetric circuit incorporating these basic elements, it is necessary that (1) all reactive elements either have representation in parity-associated network pairs, or connect parity inverted network nodes, (2) all ohmic elements are paired with opposite sign, and (3) each negative ohmic element has an associated parallel capacitance, or ac equivalent, as part of the circuit. Valid  $\mathcal{PT}$ -circuits of arbitrary complexity can be built up using these simple rules, though their stability needs to be independently determined. In principle, the long-wave approximation could be relaxed with an appropriate inclusion of waveguide connections, however, this would return geometry into the mix of  $\mathcal{PT}$  considerations.

# 3. $\mathcal{PT}$ dimer modes

Figure 2 shows the  $\mathcal{PT}$ -symmetric dimer, the simplest configuration with a non trivial (more than one mode) pseudo-Hermitian spectrum. Both capacitive and mutual inductive coupling are included for generality, although the experimental results presented throughout this work are exclusively one or the other. The gain side on the left of figure 2 is indicated by -R and was implemented using the configuration of figure 1(*a*). The loss on the right is achieved with a conventional resistance of the same value, resulting in the gain/loss parameter  $\gamma = R^{-1}\sqrt{L/C}$  for this system. Further details of the experimental circuit are given in section 7.

Kirchoff's laws for the dimer with both mutual inductance coupling and capacitive coupling between the oscillators are given for the gain side (equation (1)) and loss side (equation (2)).

$$V_1 = i\omega(LI_1 + MI_2) \qquad I_1 - \frac{V_1}{R} + i\omega CV_1 + i\omega C_c(V_1 - V_2) = 0$$
(1)

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$$V_2 = i\omega(LI_2 + MI_1) \qquad I_2 + \frac{V_2}{R} + i\omega CV_2 + i\omega C_c(V_2 - V_1) = 0.$$
(2)

Eliminating the currents from the relations, scaling frequency and time by  $\omega_0 = \sqrt{L/C}$ , and taking  $\mu = M/L$  and  $c = C_c/C$  gives the matrix equation:

$$\begin{pmatrix} \frac{1}{\omega(1-\mu^2)} - \omega(1+c) - i\gamma & \omega c - \frac{\mu}{\omega(1-\mu^2)} \\ \omega c - \frac{\mu}{\omega(1-\mu^2)} & \frac{1}{\omega(1-\mu^2)} - \omega(1+c) + i\gamma \end{pmatrix} \begin{pmatrix} V_1 \\ V_2 \end{pmatrix} = 0.$$
(3)

At this point, it is obvious that the system is  $\mathcal{PT}$  symmetric: swapping the indices and changing the sign of i leaves the equations unchanged. This linear, homogeneous system has four normal mode frequencies, as required to fulfil any arbitrary initial condition for voltage and current, given by

$$\omega_{1,2} = \pm \frac{\sqrt{\gamma_c^2 - \gamma^2} + \sqrt{\gamma_{\rm PT}^2 - \gamma^2}}{2\sqrt{1 + 2c}}; \quad \omega_{3,4} = \pm \frac{\sqrt{\gamma_c^2 - \gamma^2} - \sqrt{\gamma_{\rm PT}^2 - \gamma^2}}{2\sqrt{1 + 2c}}; \tag{4}$$

with the  $\mathcal{PT}$  symmetry breaking point identified as

$$\gamma_{\rm PT} = |\frac{1}{\sqrt{1-\mu}} - \sqrt{\frac{1+2c}{1+\mu}}|$$
(5)

and the upper critical point by

$$\gamma_c = \frac{1}{\sqrt{1-\mu}} + \sqrt{\frac{1+2c}{1+\mu}}.$$
(6)

Note that the given forms explicitly show all of the relationships among the critical points and the real and imaginary parts of the frequencies. The exact phase,  $0 < \gamma < \gamma_{PT}$ , is characterized by four purely real eigenfrequencies coming in two pairs of positive ( $\omega_1, \omega_3 > 0$ ) and negative ( $\omega_2, \omega_4 < 0$ ) values, while in the broken phase below the upper critical point,  $\gamma_{PT} < \gamma < \gamma_c$  the eigenfrequencies are coming in complex conjugate pairs with non-vanishing real parts, and above  $\gamma_c$ , as two purely imaginary complex conjugate pairs. The broken phase of the  $\mathcal{PT}$  dimer is unstable, in that it is ultimately dominated by an exponentially growing mode.

The normal modes in the exact phase are characterized by equal magnitudes for the voltage oscillations in the gain and loss sides, which in the  $+\omega$ , real part convention allowed by the real eigenfrequencies, are given by

$$\binom{V_1}{V_2}_{\pm} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\ -\exp(\mathrm{i}\phi_{\pm}) \end{pmatrix}$$
(7)

with a phase  $\phi_{\pm}$  of the loss side

$$\phi_{\pm} = \pi/2 - \tan^{-1} \left[ \frac{1}{\gamma} \left( \frac{1}{(1-\mu^2)\omega_{\pm}} - (1+c)\omega_{\pm} \right) \right].$$
(8)

As the gain/loss parameter traverses the exact region,  $0 \le \gamma \le \gamma_{\text{PT}}$ , the phase progresses from the in- and out-of-phase configuration of a Hamiltonian coupled oscillator, to a mode coalescence at  $\gamma_{\text{PT}}$  with  $\phi_{\pm} \sim \pi/2$  with the real frequency

$$\omega_{+} = \omega_{-} = [(1 - \mu^{2})(1 + c)]^{-1/4}.$$
(9)

Examination of the inductor currents,

$$\begin{pmatrix} I_1 \\ I_2 \end{pmatrix}_{\pm} = \begin{pmatrix} \frac{1}{1-\mu^2} & -\frac{\mu}{1-\mu^2} \\ -\frac{\mu}{1-\mu^2} & \frac{1}{1-\mu^2} \end{pmatrix} \begin{pmatrix} V_1 \\ V_2 \end{pmatrix}_{\pm}$$
(10)

reveal phase shifts, relative to the corresponding voltages, that advance on the gain side and retard on the loss side within either mode. This is as required for the net transfer of electrical energy from the gain side to the loss side as the gain/loss parameter increases. This evolutionary behavior is helpful in understanding the spectral and dynamical behavior of the dimer.

An alternate analysis of the dimer is also accomplished by recasting Kirchoff's laws, equations (1) and (2) into a 'rate equation' form by making use of a Liouvillian formalism



where  $\alpha = 1/(1 - \mu^2)$ ,  $\beta = 1 + c + c\mu$ ,  $\zeta = c + \mu + c\mu$  and  $\Psi \equiv (Q_1, Q_2, Q_1, Q_2)^T$  with  $Q_n = CV_n$ . This formulation opens new exciting directions for applications [28] of generalized  $\mathcal{PT}$ -mechanics [20] as it can be interpreted as a Schrödinger equation with non-Hermitian effective Hamiltonian  $H_{\text{eff}} = i\mathcal{L}$ . This Hamiltonian is symmetric with respect to generalized  $\mathcal{P}_0 T_0$  transformations [28], i.e.  $[\mathcal{P}_0 T_0, H_{\text{eff}}] = 0$ , where

$$\mathcal{P}_0 = \begin{pmatrix} \sigma_x & 0\\ 0 & \sigma_x \end{pmatrix}; \quad \mathcal{T}_0 = \begin{pmatrix} \mathbf{1} & 0\\ 0 & -\mathbf{1} \end{pmatrix} \mathcal{K}$$
(12)

and  $\sigma_x$  is the Pauli matrix, **1** is the 2 × 2 identity matrix, and  $\mathcal{K}$  denotes the operation of complex conjugation. By a similarity transformation  $\mathcal{R}$  [28],

$$\mathcal{R} = \begin{pmatrix} \frac{2i(b+d)}{1+\sqrt{1+2c}} & -\frac{2i(b+d)}{1+\sqrt{1+2c}} & \frac{-1-2c+\sqrt{1+2c}}{c} & \frac{-1-2c+\sqrt{1+2c}}{c} \\ \frac{i(-1-2c+\sqrt{1+2c})(b-d)}{c} & \frac{i(-1-2c+\sqrt{1+2c})(b-d)}{c} & \frac{2}{1+\sqrt{1+2c}} & -\frac{2}{1+\sqrt{1+2c}} \\ \frac{i(1+2c-\sqrt{1+2c})(b-d)}{c} & \frac{i(1+2c-\sqrt{1+2c})(b-d)}{c} & \frac{2}{1+\sqrt{1+2c}} & -\frac{2}{1+\sqrt{1+2c}} \\ \frac{2i(b+d)}{1+\sqrt{1+2c}} & -\frac{2i(b+d)}{1+\sqrt{1+2c}} & \frac{1+2c-\sqrt{1+2c}}{c} & \frac{1+2c-\sqrt{1+2c}}{c} \end{pmatrix}$$
(13)

 $H_{\text{eff}} = \mathcal{R}^{-1}H\mathcal{R}$  can be related to a transposition symmetric,  $\mathcal{PT}$ -symmetric Hamiltonian  $H = H^T = \mathcal{P}H^{\dagger}\mathcal{P}, \mathcal{T} = \mathcal{K}$  where  $\mathcal{P} = \mathcal{R}P_0\mathcal{R}^{-1}$ . The matrix H is then

$$H = -\begin{pmatrix} 0 & b + ir & d + ir & 0\\ b + ir & 0 & 0 & d - ir\\ d + ir & 0 & 0 & d - ir\\ 0 & d - ir & d - ir & 0 \end{pmatrix}$$
(14)

where  $b = \sqrt{\alpha(\beta + \sqrt{\beta^2 - \zeta^2})/2}$ ,  $d = \sqrt{\alpha(\beta - \sqrt{\beta^2 - \zeta^2})/2}$  and  $r = \frac{1}{2}\sqrt{1 + 2c\gamma}$ . The frequencies and normal modes within this framework are identical to equations (4) and (10).

These normal mode properties can be measured in our electronic dimer by simultaneous observation of the node voltages  $V_1$  and  $V_2$  of figure 2. Our set-up allows detailed analysis for gain/loss parameters  $\gamma$  on either side of the  $\mathcal{PT}$ -phase transition point. In the exact phase, time series samples are captured with the dimer slightly unbalanced to marginally oscillate the mode of interest. Beyond the critical point, a transient sample is obtained dominated by the exponentially growing mode. Details are given in section 7.

In figure 3 we report measurements for the dimer frequencies (left) and inter-component phases (right) compared with the theoretical expressions, equations (4) and (8) respectively, for the values  $\mu = 0.2$  and c = 0. The  $\mathcal{PT}$  symmetry imposes the condition that the magnitude of the two voltage components are equal to one-another in the exact phase. This property is also experimentally observed. For  $\gamma = 0$ , the phases corresponding to the symmetric and antisymmetric combination are  $\phi_{-} = 0$  and  $\phi_{+} = \pi$ , respectively. When  $\gamma$  is subsequently



**Figure 3.** *Left*: Parametric evolution of the experimentally measured eigenfrequencies, versus the normalized gain/loss parameter  $\gamma/\gamma_{\mathcal{PT}}$ . A comparison with the theoretical results of equation (4), indicates an excellent agreement. In all cases, we show only the  $\mathcal{R}e(\omega_l) > 0$  eigenfrequencies. The open circles in the lower panel are reflections of the experimental data (lower curve) with respect to the  $\mathcal{I}m(\omega) = 0$  axis. *Right*: Parametric evolution of the phase difference  $\phi_{\pm}$ . Symbols correspond to experimental data while the lines indicate the theoretical results of from equation (8). The theoretical  $\phi_{\mathcal{PT}}(\mu)$  is shown in the inset.

increased and the system is below the  $\mathcal{PT}$  threshold, the eigenstates are not orthogonal and their phases can be anywhere (depending on  $\gamma/\gamma_{\mathcal{PT}}$ ) in the interval  $[0, \pi]$ .

The value of phase difference at the spontaneous  $\mathcal{PT}$ -symmetric breaking point  $\gamma = \gamma_{\mathcal{PT}}$  can be calculated analytically and it is given by the expression:

$$\phi_{\mathcal{PT}}(\mu) = \arccos\left(\frac{\sqrt{1-\sqrt{1-\mu^2}}}{\sqrt{1+\sqrt{1-\mu^2}}}\right).$$
(15)

We note that in the limit of  $\mu \to 0$  we get  $\phi_{\mathcal{PT}} = \pi/2$ , corresponding to a 'circular' polarization of the eigenmode. The opposite limit of  $\mu \to 1$  results to  $\phi_{\mathcal{PT}} = 0$  corresponding to 'linear' polarization.

# 4. $\mathcal{PT}$ dimer dynamics

The signatures of  $\mathcal{PT}$ -symmetry and the transition from the exact phase to the broken phase are similarly reflected in the temporal behavior of our system. Equation (11) can be solved either analytically or via direct numerical integration in order to obtain the temporal behavior of the capacitor charge  $Q_n(\tau)$  and the displacement current  $I_n(\tau)$  in each of the two circuits of the  $\mathcal{PT}$ -symmetric dimer. As an example of the dimer state evolution, we consider an initial displacement current in one of the circuits with all other dynamical variables zero.

In figure 4 we present some typical measurements for the temporal behavior of circuit voltages along with the corresponding numerical result. We consider a dimer configuration with  $\mu = 0.2$  and c = 0 (i.e. inductive coupling only). In the left panel of figure 4(*a*), we show  $V_1(\tau)$  and  $V_2(\tau)$  for an initial condition having  $I_1(0) = 1.2$  mA with all other dynamical variables zero. The right panel shows the same data as a Lissajiou plot, with the initial condition trajectory leaving the origin with  $V_1$  decreasing, and  $V_2$  stationary. Agreement between the experiment (circles) and the simulations (lines) is observed, illustrating that, in spite of the presence of dissipative elements and non-orthogonal states, the beat superposition associated with real frequencies occurs. There is, however, a subtle distinction: since energy



**Figure 4.** (*a*) Gain and loss side voltages versus time compared to the simulation. (*b*) Gain versus loss side Lissajous figure for one beat period. At t = 0 an initial current was imposed in the gain side inductor with all other dynamical variables zero. Note that the end of the beat (indicated by the arrow near 200  $\mu$ s) is preceded by a similar point where both voltages pass through zero (indicated by the arrow near 150  $\mu$ s) with  $V_2$  decreasing, and  $V_1$  stationary. This corresponds to the complementary initial condition starting from the loss side, and illustrates an asymmetric time between the beat nodal points of oscillatory activity in the two oscillators of the dimer.

is not conserved, the beat is asymmetric between the gain side and the loss side nodal times, with oscillatory activity spending more time between gain side nodal points as energy grows to a significantly larger size before decaying and growing between the loss side nodal points. However, unlike traditional coupled-oscillator beats, instead of 'slashing' between both sides during the course of the beats, a growth and decay energy dance occurs with both sides more or less equally represented except in the vicinity of the nodal points. This behavior is a direct result of the non-orthogonal phase relationships that become more pronounced as  $\gamma \rightarrow \gamma_{PT}$ . A Hamiltonian dimer would exhibit a perfect half-beat offset between the left and right voltage beat envelopes.

We have also traced these energy dance features by studying the time-dependence of the total capacitance energy:

$$E_C^{\text{tot}}(\tau) = \frac{Q_1^2(\tau) + Q_2^2(\tau)}{2C}.$$
 (16)

With the initial condition used in the experiment, we expect power oscillations which are due to the unfolding of the non-orthogonal eigenmodes [2, 11, 16, 14]. This universal feature is evident in the temporal behavior of  $E_C^{\text{tot}}(\tau)$  as can be seen in figure 5. On the other hand, for  $\gamma > \gamma_{\mathcal{PT}}$  the dynamics is unstable and  $E_C^{\text{tot}}(\tau)$  grows exponentially with a rate given by the maximum imaginary eigenvalue max{ $\mathcal{Im}(\omega_l)$ } (see figure 5).

The most interesting behavior appears at the spontaneous  $\mathcal{PT}$ -symmetry breaking point  $\gamma = \gamma_{\mathcal{PT}}$ . At this point the matrix  $\mathcal{L}$  has a defective eigenvalue. In this case, the evolution  $U = \exp(\mathcal{L}\tau)$  can be calculated from the Jordan decomposition of  $\mathcal{L}$  as  $\mathcal{J} = S\mathcal{L}S^{-1}$ . Having in mind the form of the exponential of a Jordan matrix, it follows immediately that linear growing terms appear in the evolution of the charge vector  $(Q_1(\tau), Q_2(\tau))^T$  [29]. This results in a quadratic increase of the capacitance energy i.e.  $E_C^{\text{tot}}(\tau) \sim \tau^2$ . Although all systems typically becomes very sensitive to parameters near a critical point, we are able to control the circuit elements sufficiently well to observe the approach to the predicted  $\tau^2$  behavior of the energy. This time range is limited by the dynamic range of our circuit linearity, as discussed in section 7.



**Figure 5.** Experimentally measured temporal dynamics of the capacitance energy  $E_C^{\text{tot}}(\tau)$  of the total system for various  $\gamma$ -values. As  $\gamma \rightarrow \gamma_{\mathcal{PT}}$  the  $\tau^2$  behavior signaling the spontaneous  $\mathcal{PT}$ -symmetry breaking is observed.



**Figure 6.** Two experimental configurations associated with a simple  $\mathcal{PT}$ -symmetric dimer. In the lower and upper circuits, we couple a TL to the gain and loss sides, respectively. Preliminary experimental measurements for the corresponding reflection coefficients are shown (loss-side red, gain-side blue) along with the solid line corresponding to  $R_{\rm L}^{-1}$  illustrate the reciprocal nature  $R_{\rm L}R_{\rm R} = 1$  (see text) of the  $\mathcal{PT}$ -scattering. Here  $\mu = 0.2$ ,  $\gamma = 0.164$  and  $Z_0 = 15.5\sqrt{L/C}$ .

## 5. The Janus faces of $\mathcal{PT}$ -symmetric scattering

We report our initial scattering studies with the following two reciprocal geometries: in the first case, a TL is attached to the left (amplified) circuit of the dimer load while in the second case, the TL is connected to the right (lossy) circuit of the load (see lower and upper insets of figure 6 respectively). Experimentally, the equivalent of a TL with characteristic impedance  $Z_0$  could be attached to either side of the dimer at the RLC circuit voltage node in the form

of a resistance  $R_0 = Z_0$  in series with a variable frequency voltage source. The right and left traveling wave components associated with the TL would be deduced from the complex voltages on both sides of  $R_0$ . With  $V_{LC}$  the voltage on the LC circuit, and  $V_0$  the voltage on the synthesizer side of the coupling resistor  $R_0$ , the right (incoming) wave has a voltage amplitude  $V_L^+ = V_0/2$  and the left (reflected) wave has a voltage amplitude  $V_L^- = V_{LC} - V_0/2$ . The voltage source defines the phase of the incoming wave.

At any point along a TL, the current and voltage determine the amplitudes of the right and left traveling wave components [30]. The forward  $V_{L,R}^+$  and backward  $V_{L,R}^-$  wave amplitudes, and  $V_{1,2}$  and  $I_{1,2}$  the voltage and current at the left or right TL-dimer contacts satisfy the continuity relation

$$V_{1,2} = V_{L,R}^+ + V_{L,R}^-; \quad I_{1,2} = \left[V_{L,R}^+ - V_{L,R}^-\right]/Z_0 \tag{17}$$

which connect the wave components to the currents and voltages at the TL-dimer contact points. Note that with this convention, a positive lead current flows into the left circuit, but out of the right circuit, and that the reflection amplitudes for left or right incident waves are defined as  $r_L \equiv V_L^-/V_L^+$  and  $r_R \equiv V_R^+/V_R^-$  respectively.

Application of Kirchoff's laws at the TL leads allow us to find the corresponding wave amplitudes and reflection. For this analysis, we assume the  $e^{-i\omega t}$  wave convention. For example, the case of the left-attached lead in the lower inset of figure 6 gives

$$\eta (V_L^+ - V_L^-) = I_1^M - \gamma V_1 - i\omega V_1$$
  

$$V_1 = -i\omega [I_1^M + \mu I_2^M]; \quad V_2 = -i\omega [I_2^M + \mu I_1^M]$$
  

$$0 = I_2^M + \gamma V_2 - i\omega V_2$$
(18)

where  $\eta = \sqrt{L/C}/Z_0$  is the dimensionless TL impedance, and  $I_{1,2}^M$  are the current amplitudes in the left or right inductors. These are equivalent to the simple dimer form equations (1) and (2) with the addition of the contact current and the opposite sign convention for *i* more appropriate for the traveling wave analysis. Similar equations apply for the right-attached case shown in the upper inset of figure 6. We are interested in the behavior of the reflectance  $R_{L/R} \equiv |r_{L/R}|^2$ , as the gain/loss parameter  $\gamma$ , and the frequency  $\omega$  changes.

For  $\mathcal{PT}$ -symmetric structures, the corresponding scattering signals satisfy generalized unitarity relations which reveal the symmetries of the scattering target. Specifically, in the single-port set up this information is encoded solely in the reflection. To unveil it, we observe that the lower set-up of figure 6 is the  $\mathcal{PT}$ -symmetric replica of the upper one. Assuming therefore that a potential wave at the left lead (lower inset) has the form  $V_L(x) = \exp(ikx) + r_L \exp(-ikx)$  (we assume  $V_L^+ = 1$  and  $V_L^- = r_L$  in equation (17)), we conclude that the form of the wave at the right lead associated with the upper circuit of figure 6 is  $V_R(x) = \exp(-ikx) + r_r \exp(ikx) = V_L^*(-x)$ . Direct comparison leads to the relation

$$\cdot r_R^* = 1 \rightarrow R_L = 1/R_R \quad \text{and} \quad \phi_L = \phi_R$$

$$\tag{19}$$

where  $\phi_{L/R}$  are the left/right reflection phases. Note that equation (19) differs from the more familiar conservation relation R = 1, which applies to unitary scattering processes as a result of flux conservation. In the latter case left and right reflectances are equal. Instead in the  $\mathcal{PT}$ -symmetric case we have in general that  $R_L \neq R_R$  [31].

For the specific case of the  $\mathcal{PT}$ -symmetric dimer, we can further analytically calculate the exact expression for the reflection coefficients. From equations (18) we have

$$r_{L}(\omega) = -f(-\eta, -\gamma)/f(\eta, -\gamma)$$

$$r_{R}(\omega) = -f(-\eta, \gamma)/f(\eta, \gamma)$$

$$f = 1 - [2 - \gamma m(\gamma + \eta)]\omega^{2} + m\omega^{4} - i\eta\omega(1 - m\omega^{2})$$
with  $m = 1/\sqrt{1 - \mu^{2}}$ .
(20)

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 $r_I$ 

In the limiting cases of  $\omega \to 0, \infty$  the reflection amplitude becomes  $r_R \to \pm 1$  and thus unitarity is restored.

In the main panel of figure 6 we plot the reflection coefficients of equation (20) for the two scattering configurations shown in the sub-panels. The measured reflectances  $R_L$ , and  $R_R$  satisfy the generalized conservation relation  $R_L \cdot R_R = 1$  as expected from equation (19). The slight deviation from reciprocity in the vicinity of large reflectances can be attributed to nonlinear effects.

A peculiarity of our results is the appearance of a singularity frequency point  $\omega_J(\mu, \gamma)$  for which  $R_R \to \infty$ , while a reciprocal point for which  $R_L = 0$  is also evident. The corresponding  $(\omega_J; \gamma_{\infty,0})$  are found from equation (20) to be

$$\gamma_{\infty,0} = \frac{1}{2} \left( \sqrt{\eta^2 + \frac{4\mu^2}{(1-\mu^2)}} \mp \eta \right); \ \omega_{\rm J} = \frac{1}{\sqrt{1-\mu^2}}.$$
(21)

Therefore, our experiment demonstrates that a  $\mathcal{PT}$ -symmetric load is a simple electronic Janus device that for the same values of the parameters  $\omega_J$ ,  $\mu$ ,  $\gamma$  acts as a perfect signal absorber as well as a signal amplifier, depending on the side (gain or loss) that the TL is coupled to the dimer.

For the more general case of a two-port  $\mathcal{PT}$  scattering, it was shown theoretically in [22] and later on confirmed experimentally in [30] that the following conservation relation holds:

$$\sqrt{R_L R_R} = |T - 1|. \tag{22}$$

Equation (19) is a special case of equation (22) once we realize that in the single port case the transmittance T = 0.

# 6. Two-port CPA-amplifier

Recent theoretical studies in the optics framework [17] have suggested that a two-port  $\mathcal{PT}$ -symmetric cavity can act as a simultaneous CPA-laser. In this section we provide the first experimental realization of this proposal using a two-port configuration of our  $\mathcal{PT}$ -symmetric electronic dimer, and demonstrate it's action as simultaneous CPA-amplifier. We consider the capacitively coupled case, with  $c = C_c/C$  as previously defined, to demonstrate the independence of the generic behavior from the coupling mechanism. Following steps similar to the single-port case, Kirchoff's laws lead to the following set of equations:

$$\eta \left( V_L^+ - V_L^- \right) + \left( V_L^+ + V_L^- \right) \left[ i\omega(1+c) + \frac{1}{i\omega} + \gamma \right] - i\omega c \left( V_R^+ + V_R^- \right) = 0$$
(23)

$$-\eta \left( V_{R}^{+} - V_{R}^{-} \right) + \left( V_{R}^{+} + V_{R}^{-} \right) \left[ i\omega(1+c) + \frac{1}{i\omega} - \gamma \right] - i\omega c \left( V_{L}^{+} + V_{L}^{-} \right) = 0.$$
(24)

The above equations can be written in a more elegant form by making use of the transfer matrix formulation:

$$\begin{pmatrix} V_R^+ \\ V_R^- \end{pmatrix} = \mathcal{M} \begin{pmatrix} V_L^+ \\ V_L^- \end{pmatrix}; \quad \mathcal{M} = \frac{1}{2\omega c\eta} \begin{pmatrix} A + iB & iC \\ -iD & A - iB \end{pmatrix}$$
(25)

where the transfer matrix elements  $\mathcal{M}$  are  $A = 2\eta\Omega$ ,  $B = \Omega^2 - \eta^2 - \omega^2 c^2 + \gamma^2$ ,  $C = (\gamma - \eta)^2 + \Omega^2 - \omega^2 c^2$ , and  $D = (\gamma + \eta)^2 + \Omega^2 - \omega^2 c^2$ , with  $\Omega = \omega(1 + c) - 1/\omega$ . One can further express the spectral transmission and reflection coefficients for left (L) and right (R) incidence in terms of the transfer matrix elements as [25, 26]

$$t_L = t_R \equiv t = \frac{1}{\mathcal{M}_{22}}, \quad r_L = -\frac{\mathcal{M}_{21}}{\mathcal{M}_{22}}, \quad r_R = \frac{\mathcal{M}_{12}}{\mathcal{M}_{22}}$$
 (26)

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where we have used the identity that  $det(\mathcal{M}) = 1$ .

An alternative formulation of the transport problem utilizes the so-called scattering matrix S which connects incoming to outgoing waves and its elements can be written in terms of the transmission/reflection coefficients. Specifically

$$\begin{pmatrix} V_R^+ \\ V_L^- \end{pmatrix} = \mathcal{S} \begin{pmatrix} V_L^+ \\ V_R^- \end{pmatrix}; \qquad \mathcal{S} = \frac{1}{\mathcal{M}_{22}} \begin{pmatrix} 1 & \mathcal{M}_{12} \\ -\mathcal{M}_{21} & 1 \end{pmatrix}.$$
(27)

Using the scattering matrix language one can derive conditions in order our  $\mathcal{PT}$ -symmetric structure to act either as an amplifier or as a perfect absorber. For a laser oscillator without an injected signal, the boundary conditions  $V_L^+ = V_R^- = 0$  apply, which imply from equation (27) the condition  $\mathcal{M}_{22}(\omega) = 0$  [26]. In contrast, for a perfect absorber the boundary conditions,  $V_L^- = V_R^+ = 0$ , corresponding to zero reflected waves, hold. From equation (27) this implies  $\mathcal{M}_{11}(\omega) = 0$ , while the amplitudes of the incident waves must satisfy the condition  $V_R^- = \mathcal{M}_{21}(\omega)V_L^+$ . In general, the condition for an amplifier/laser system, is not satisfied simultaneously with the condition for a perfect absorber. However for any  $\mathcal{PT}$ -symmetric structure, one can show from equation (25) that the matrix elements of  $\mathcal{M}$  satisfy the relation  $\mathcal{M}_{22}(\omega) = \mathcal{M}_{11}^*(\omega^*)$  [17]. As a result, a real  $\omega = \omega_J$  exists, that satisfies the amplifier/laser condition simultaneously with the absorber condition ( $\mathcal{M}_{22}(\omega_J) = \mathcal{M}_{11}(\omega_J) = 0$ ). Hence the two-port  $\mathcal{PT}$ -symmetric dimer can behave simultaneously as a perfect absorber and as an amplifier. This property can be explored using an overall output coefficient  $\Theta$  defined as [17]

$$\Theta = \frac{|V_R^+|^2 + |V_L^-|^2}{|V_L^+|^2 + |V_R^-|^2}.$$
(28)

Note that in the case of a single-port scattering set-up discussed earlier in this section, the  $\Theta$ -function collapses to the left/right reflectances. We can further simplify the above expression using equation (25), together with the property det(M) = 1. We get

$$\Theta(\omega) = \frac{\left|\frac{V_{R}^{-}}{V_{L}^{+}}\mathcal{M}_{12}(\omega) + 1\right|^{2} + \left|\frac{V_{R}^{-}}{V_{L}^{+}} - \mathcal{M}_{21}(\omega)\right|^{2}}{\left(1 + \frac{\left|V_{R}^{-}\right|^{2}}{\left|V_{L}^{+}\right|^{2}}\right)|\mathcal{M}_{22}(\omega)|^{2}}.$$
(29)

At the singularity frequency point  $\omega = \omega_J$  and for a generic ratio  $V_b^R/V_f^L$ , the  $\Theta(\omega)$ -function diverges as  $\omega \to \omega_J$  and the circuit acts as an amplifier/laser. If on the other hand, we assume that  $V_R^- = \mathcal{M}_{21}(\omega)V_L^+$  (perfect adsorption condition), we get

$$\Theta(\omega_J) = \frac{|\mathcal{M}_{21}(\omega_J)\mathcal{M}_{12}(\omega_J) + 1|^2}{(1 + |\mathcal{M}_{21}(\omega_J)|^2)|\mathcal{M}_{22}(\omega_J)|^2} = \frac{|\mathcal{M}_{22}(\omega_J)\mathcal{M}_{11}(\omega_J)|^2}{(1 + |\mathcal{M}_{21}(\omega_J)|^2)|\mathcal{M}_{22}(\omega_J)|^2} = 0.$$
(30)

In the context of electronics, the two port simultaneous laser/absorber properties are manifest as a delicate balance of the driven, marginally stable circuit. The singular behavior of the theoretical  $\Theta$  in figure 7(*a*), solid curves, illustrate that at the Janus frequency  $\omega_J$  the injected signals can result in either amplification or complete attenuation, depending on the relative amplitude and phase of the injected signals. The perfect absorption condition is particularly sensitive to the injection parameters: the deviation of the experimental data, figure 7(*a*) dots, is characteristic of component imbalance of less than 1%. In fact, the minimally absorbing experimental points near the dip in the attenuation curve of figure 7(*a*) can only be obtained by an independent determination of the minimal reflectance condition at each frequency. Figure 7(*b*) shows this extreme sensitivity to the phase of the right input signal near  $\omega_J$  and illustrates our current experimental limits to the observation of the Janus condition.



**Figure 7.** (*a*) The overall output coefficient  $\Theta(\omega)$  around the Janus amplification/attenuation frequency  $\omega_J$  (vertical dashed line) for a  $\mathcal{PT}$ -symmetric electronic circuit coupled to two ports. The parameters used in this simulation are  $\eta = 0.110$ ,  $\gamma = 0.186$  and c = 0.161. The red curve corresponds to the two port coherent input excitation with  $V_R^- = \mathcal{M}_{21}(\omega)V_L^+$ ; the blue curve correspond to a two-port input signal with  $V_R^- = V_L^+$ . In the former case the system acts as an perfect attenuator while in the latter as an amplifier. The dots are experimental values. (*b*) Plots of experimental  $\Theta(\omega_J)$  as the loss side input excitation phase is changed, for several excitation amplitudes. Note the extremely sharp dependence at the Janus condition.

# 7. Practical considerations

Although the fundamental theoretical aspects of  $\mathcal{PT}$  electronic circuits is straightforward, it is important to realize that all physical electronic elements deviate from their ideal intended function in two distinctly different ways. First, all have unintentional or stray impedances resistive and reactive components—that can become significant as frequency changes. Second, all components, particularly amplifiers, are subject to linearity limits.

The experimental dimer, equivalent to that shown in figure 2 with either the inductive or capacitive coupling, consists of a pair of coupled LC circuits, one with amplification in the form of the negative resistance, and the other with equivalent attenuation. The circuit was shown in [1] to be a simple realization of the  $\mathcal{PT}$ -symmetric dimer. Each inductor is wound with 75 turns of #28 copper wire on 15 cm diameter PVC forms in a 6 × 6 mm loose bundle for an inductance of L = 2.32 mH. The coils are mounted coaxially with a bundle separation adjusted for the desired mutual inductance M. The isolated natural frequency of each coil is  $\omega_0 = 1/\sqrt{\text{LC}} = 2 \times 10^5 \text{ s}^{-1}$ .

The actual experimental circuit includes several additions to that of figure 2 acknowledging the physical realities mentioned above. First, a resistive component associated with coil wire dissipation is nulled by an equivalent ohmic gain component applied in parallel to each coil. A discussed in section 2, it is not possible to directly apply a series ohmic gain for this compensation. This is our dominant deviation from ideal behavior, however, we have determined from simulation that compensating for this series loss by a parallel gain has negligible impact on the ideal  $\mathcal{PT}$  behavior.

Second, additional LF356 op-amps, also used for the negative resistance converter of figure 1(*a*), are used for voltage followers to buffer the voltages  $V_1$  and  $V_2$  of figure 2, allowing for a less intrusive capture with the Tektronix DPO2014 oscilloscope used for signal acquisition.

Finally, small capacitance and gain trims are included to aid in circuit balancing.

Our linearity is constrained by the LF356 op-amps in the negative impedance converters of figure 1(a). At the  $f \sim 30$  kHz operating frequency, the limits were consistent with

the  $\pm 12$  V supply voltage used for the circuit. In fact, the op-amp linearity limited the overall operation frequency of the dimer: higher frequency op-amps are available, but their linearity and input impedance suffer.

The linear nature of our system allows an exact balance of the  $\mathcal{PT}$  symmetry only to the extent that component drift over a time scale necessary to perform a measurement is negligible. In the exact phase, real system modes are not perfectly achievable: in time, any physical linear system ultimately either shrinks to zero or exponentially grows to the physical linearity limit. In the case of our dimer, component precision and drift dictate the accuracy of the  $\mathcal{PT}$  balance to approximately 0.1%, and all data was obtained respecting the linearity limits and associated transient time scales.

Experimental practice allows for only a marginal determination gain/loss balance. The chosen gain/loss parameter  $\gamma = R^{-1}\sqrt{L/C}$  is set by the loss-side resistance *R* of figure 2, typically in the range 1–10 k $\Omega$  for this work. The gain-side *R* and capacitance balance are set with the help of the gain and capacitance trims. In the exact phase, not too close to  $\gamma_{PT}$ , the system is trimmed for simultaneous marginal oscillation of both modes with growth or decay times greater than ~1s, where data is then obtained. The imaginary frequency component is then zero to within ~1s^{-1}.

Very close to the critical point,  $\gamma \sim \gamma_{\text{PT}}$  attempts to trim the dimer to the marginal configuration result in either V = 0 (the gain too small), or a chaotic interplay of the two modes with the op-amp nonlinearity if the gain is larger. This behavior serves as one indication that the critical point has been exceeded. In the vicinity of  $\gamma_{\text{PT}}$  and beyond, the capacitance trim is kept fixed at its asymptotic value, and the gain trim is numerically set to compensate for any measured deviation of the gain side *R* chosen from the desired value. The exponential growth or decay rate of transient data obtained then directly gives us the imaginary component. Beyond the  $\mathcal{PT}$  point, the exponentially growing mode always dominates.

At this point, these experimental techniques ultimately impact the limits to which the theory is applicable, particularly in the vicinity of the symmetry breaking point where small imbalances can drastically impact the dynamics. We anticipate that, due to the stabilizing nature of resistive loads in the form of TLs, the  $\mathcal{PT}$  dimer will provide many opportunities for incorporation into scattering configurations.

## 8. Conclusions

The  $\mathcal{PT}$ -symmetric dimer opens a new direction toward investigating novel phenomena and functionalities of  $\mathcal{PT}$ -symmetric systems in the *spatio-temporal* domain via electronic circuits. This minimal example, which is experimentally simple and mathematically transparent, displays all the universal phenomena encountered in systems with generalized  $\mathcal{PT}$ -symmetries. The direct accessibility to all the dynamical variables of the system enables insight and a more thorough understanding of generic  $\mathcal{PT}$ -symmetric behavior. In addition, we envision new opportunities for inclusion of  $\mathcal{PT}$  electronics into structures including (nano)antenna configurations, metamaterials, or microresonator arrays with electronic control over directional signal transmission capabilities and real-time manipulation in the spatio-temporal domain.

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